

# On the ratio of the sum of divisors and Euler's totient function II

KEVIN A. BROUGHAN AND QIZHI ZHOU  
Department of Mathematics  
University of Waikato  
Private Bag 3105, Hamilton, New Zealand  
kab@waikato.ac.nz, qz49@waikato.ac.nz

August 7, 2014

## Abstract

We find the form of all solutions to  $\phi(n) \mid \sigma(n)$  with three or fewer prime factors, except when the quotient is 4 and  $n$  is even.

## 1 Introduction

In this article we continue the study of the sets

$$\mathcal{R}_a = \{n \in \mathbb{N} : \sigma(n) = a \cdot \phi(n)\}$$

for integer  $a \geq 2$ , begun in [3]. Motivation for this is given in the Introduction of [3]. That article was concerned in the main with  $\mathcal{R}_2$ . It was shown that the only solutions to the equation  $\sigma(n) = 2 \cdot \phi(n)$ , with at most 3 distinct prime factors are 3, 35 and 1045, that there exist at most a finite number of solutions to  $\sigma(n) = 2 \cdot \phi(n)$  with  $\Omega(n) \leq k$ , and that there are at most  $2^{2^k+k} - k$  squarefree solutions to  $\phi(n) \mid \sigma(n)$  if  $\omega(n) = k$ . It was also shown that the number of solutions to  $\phi(n) \mid \sigma(n)$  has asymptotic density zero.

Here is an outline of this article. Other than in an exceptional case, we derive a complete list of all forms of divisibilities  $\phi(n) \mid \sigma(n)$  for all  $n$  having less than 4 distinct prime

factors. These values of  $n$  are finite if and only if the set of Mersenne primes and the set of all primes of the form  $2 \cdot 3^n - 1$  are finite. The finiteness or otherwise of these sets of primes are commonly regarded as an ultra-difficult questions to resolve, and we do not treat this issue here.

The explicit values of  $n$  for which  $\phi(n) \mid \sigma(n)$  are given in Theorem 3 and summarized in Tables 1 and 2. The exceptional case is where the quotient is 4 and  $n$  is even. Here there appears to be an infinite number of solutions, but we have not been able to show this, even assuming well known conjectures relating to properties of primes.

In Section 2 we develop some tools which are needed later. In Lemma 4 and Theorem 2 we give a complete list of the finite number of  $n$  in any  $\mathcal{R}_a$  with fewer than 3 prime factors. Then we give in Lemma 8 a complete list of the elements of  $\mathcal{R}_3$  with 3 distinct prime factors. Here this list is finite when and only when the set of primes of the form  $2 \cdot 3^n - 1$  is finite. In Lemma 10 we do the same for the odd elements of  $\mathcal{R}_4$ . Of special interest is the relationship of  $\mathcal{R}_4$ , and some of the other  $\mathcal{R}_a$ 's, to Mersenne primes. The even elements of  $\mathcal{R}_4$  with two prime factors are precisely numbers of the form  $2^{p-2}M_p$  (Lemma 9). Conjecturally [6] the set of elements of  $\mathcal{R}_4$  with two prime factors is infinite. In Section 6 we study the case for larger values of  $a$ . In Lemmas 12 and 14 we determine all of the  $\mathcal{R}_a$  with  $a \geq 10$ . The methods used in these cases rely on the algorithmic content of the method used for the case  $a = 2$  [3, Lemma 10], the finiteness of the set of solutions when the primes are fixed, [3, Theorem 17], simple inequalities and properties of multiplicative orders.

The case  $a = 9$  proved hardest to resolve, requiring properties of primitive prime divisors of Lucas sequences, Lemma 17.

Finally, in Section 7 we describe a heuristic procedure which generates squarefree elements of  $\mathcal{R}_2$  with a specified number of prime factors. We give examples for  $1 \leq \omega(n) \leq 10$ . This is evidence that  $\mathcal{R}_2$  is infinite, but we have made no progress in showing this.

We believe the number of solutions to  $\sigma(n) = 4 \cdot \phi(n)$  having the form  $n = 2^e \cdot p \cdot q$ , with  $p, q$  being odd primes, is infinite, but new methods will be needed to show this. For example with  $e = 23$  we find 33 solutions. So at least with  $\omega(n) \leq 3$ , 4 is the only integer quotient  $\sigma(n)/\phi(n)$  yet to be fully resolved. We expect new methods will be needed to do this.

*Notations:*  $\phi(n)$  is Euler's totient function,  $\sigma(n)$  the sum of divisors of  $n$ ,  $\omega(n)$  the number of distinct prime divisors of  $n$ ,  $\Omega(n)$  the total number of prime divisors including multiplicity,  $\nu_p(n)$  is the maximum power of the prime  $p$  which divides  $n$ , and  $a \parallel n$  means  $a$  divides  $n$  with the  $\gcd(a, n/a) = 1$ . The Landau-Vinogradov symbols  $o$ ,  $O$ ,  $\ll$ ,  $\asymp$  each have their usual meaning, with all implied constants being absolute. The expression  $e = \text{ord}_p a$  means  $e$  is the minimum positive integer such that  $a^e \equiv 1 \pmod p$ . If so  $e$  is

$a$	$\omega(n)$	$n$	factors
2	1	3	3
3	1	2	2
3	2	15	$3 \cdot 5$
3	3	*	$3^\alpha \cdot 7 \cdot N_{\alpha+1}$
3	3	5049	$3^3 \cdot 11 \cdot 17$
4	2	Mersenne	$2^{p-2} M_p$
4	3	105	$3 \cdot 5 \cdot 7$
4	3	1485	$3^3 \cdot 5 \cdot 11$
5	3	190	$2 \cdot 5 \cdot 19$
5	3	812	$2^2 \cdot 7 \cdot 29$
5	3	1672	$2^3 \cdot 11 \cdot 19$
5	3	56252	$2^2 \cdot 7^3 \cdot 41$

Table 1: Solutions to  $\sigma(n) = a \cdot \phi(n)$  for  $2 \leq a \leq 5$ .

called the multiplicative order of  $a$  modulo  $p$ . If  $a > b$  are natural numbers satisfying  $\gcd(a, b) = 1$ , then  $\mathcal{R} = \mathcal{R}_{a/b}$  denotes the set of solutions to  $\sigma(n)/\phi(n) = a/b$  with the convention that  $\mathcal{R}_a = \mathcal{R}_{a/1}$ . Finally  $M_p$  represents the Mersenne prime  $2^p - 1$  and  $N_a$  any prime of the form  $2 \cdot 3^a - 1$ .

Note that in what follows we often use the function  $h(n) := \sigma(n)/\phi(n)$ ,  $h : \mathbb{N} \rightarrow \mathbb{Q}$ . If  $u$  properly divides  $v$  then  $h(u) < h(v)$  [3, Lemma 4]. If  $n \in \mathcal{R}_a$  has the prime decomposition  $n = p_1^{\alpha_1} \cdots p_k^{\alpha_k}$  it satisfies

$$h(p_1^{\alpha_1}) \cdots h(p_k^{\alpha_k}) = a. \quad (1)$$

## 2 Properties of $\mathcal{R}_a$

We begin with some preliminary inequalities.

**Lemma 1.** *Let  $n \in \mathcal{R}_a$  satisfy for all  $p \mid n$ ,  $p \geq p_0$ . If  $n = \prod_{i=1}^k p_i^{\alpha_i}$  let  $p_j^{\alpha_j+1} = \min\{p_i^{\alpha_i+1} : 1 \leq i \leq k\}$ . Then*

$$m := \left( a \zeta(2) \prod_{p < p_0} \left( 1 - \frac{1}{p^2} \right) \right)^{-\frac{1}{2}} < \prod_{p \mid n} \left( 1 - \frac{1}{p} \right) < \left( \frac{a}{1 - \frac{1}{p_j^{\alpha_j+1}}} \right)^{-\frac{1}{2}} =: M.$$

*Proof.* Since

$$\prod_{i=1}^k \left( 1 - \frac{1}{p_i^{\alpha_i+1}} \right) = a \cdot \prod_{i=1}^k \left( 1 - \frac{1}{p_i} \right)^2 \quad (2)$$

$a$	$\omega(n)$	$n$	factors
6	3	616	$2^3 \cdot 7 \cdot 11$
6	3	79000	$2^3 \cdot 5^3 \cdot 79$
6	3	Mersenne	$2^{p-2} \cdot 5 \cdot M_p$
7	3	78	$2 \cdot 3 \cdot 13$
7	3	140	$2^2 \cdot 5 \cdot 7$
7	3	2214	$2 \cdot 3^3 \cdot 41$
7	3	25758	$2 \cdot 3^5 \cdot 53$
8	3	594	$2 \cdot 3^3 \cdot 11$
8	3	7668	$2^2 \cdot 3^3 \cdot 71$
8	3	Mersenne	$2^{p-2} \cdot 3 \cdot M_p$
9	3	30	$2 \cdot 3 \cdot 5$
9	3	264	$2^3 \cdot 3 \cdot 11$
9	3	61344	$2^5 \cdot 3^3 \cdot 71$
10	3	168	$2^3 \cdot 3 \cdot 7$
10	3	270	$2 \cdot 3^3 \cdot 5$
10	3	2376	$2^3 \cdot 3^3 \cdot 11$
13	3	27000	$2^3 \cdot 3^3 \cdot 5^3$

Table 2: Solutions to  $\sigma(n) = a \cdot \phi(n)$  for  $6 \leq a \leq 13$ .

the upper bound is immediate. For the lower bound we can write

$$a \cdot \prod_{i=1}^k \left(1 - \frac{1}{p_i}\right)^2 = \prod_{i=1}^k \left(1 - \frac{1}{p_i^{\alpha_i+1}}\right) > \prod_{p \geq p_0} \left(1 - \frac{1}{p^2}\right) = \left(\zeta(2) \prod_{p < p_0} \left(1 - \frac{1}{p^2}\right)\right)^{-1},$$

and the Lemma follows.  $\square$

Note that if  $n \in \mathcal{R}_a$  and  $P(n)$ ,  $p(n)$  are respectively the maximum and minimum primes dividing  $n$ , and  $m$ ,  $M$  are defined as in Lemma 1, then

$$p(n) < \frac{1}{1 - M^{\frac{1}{\omega(n)}}} \text{ and } \frac{1}{1 - m^{\frac{1}{\omega(n)}}} < P(n).$$

From Lemma 1 we get for  $n \in \mathcal{R}_a$  an inequality which is used frequently in what follows:

$$\prod_{p|n} \left(1 - \frac{1}{p}\right) < \frac{1}{\sqrt{a}}. \quad (3)$$

**Corollary 1.** *If  $p(n)$  is the minimum prime dividing  $n \in \mathcal{R}_a$  then  $3\omega(n) > p(n) \log a$ .*

*Proof.* Let  $p_0 = p(n)$  and use  $-\log(1-x) < 3x/2$ , which is valid for real  $x$  with  $0 < x < 1/2$ , to get  $1/\sqrt{a} > \prod_{p|n}(1-1/p) \geq (1-1/p_0)^{\omega(n)}$ , taking logarithms to complete the derivation.  $\square$

The following lemmas show that as  $a$  increases the number of distinct prime factors in any member of  $\mathcal{R}_a$  also increases.

**Lemma 2.** *Let  $n \in \mathcal{R}_a$  with  $2 \leq a \leq 24$ . If  $n$  is **even** we have the lower bounds  $\omega(n) \geq B$  with  $(a, B)$  in*

$$\{(2, 1), (3, 1), (4, 2), (5, 2), (6, 2), (7, 2), (8, 2), (9, 3), (10, 3), (11, 3), (12, 3), (13, 3), (14, 3), (15, 4), (16, 4), (17, 4), (18, 4), (19, 4), (20, 5), (21, 5), (22, 5), (23, 5), (24, 6)\}.$$

*If  $n$  is **odd** then we have the lower bounds  $\omega(n) \geq B$  with  $(a, B)$  in*

$$\{(2, 1), (3, 2), (4, 3), (5, 4), (6, 5), (7, 6), (8, 7), (9, 8), (10, 9), (11, 11), (12, 13), (13, 15), (14, 17), (15, 19), (16, 21), (17, 24), (18, 27), (19, 30), (20, 33), (21, 37), (22, 41), (23, 45), (24, 49), (25, 54), (26, 60), (27, 65), (28, 72)\}.$$

*Proof.* If  $P_n$  is the  $n$ 'th prime with  $P_1 = 2$ , because  $p_i \geq P_i$  for  $1 \leq i \leq n$

$$\frac{1}{\sqrt{a}} > \prod_{i=1}^m \left(1 - \frac{1}{p_i}\right) \geq \prod_{i=1}^m \left(1 - \frac{1}{P_i}\right)$$

so if, for some  $m \in \mathbb{N}$  we have

$$\prod_{i=1}^m \left(1 - \frac{1}{P_i}\right) \geq \frac{1}{\sqrt{a}}$$

since the product is strictly decreasing in  $m$ , we must have  $m < \omega(n)$ . So for given  $a \geq 2$ , we evaluate this product until its value is less than  $1/\sqrt{a}$ . The value of  $m$  is then a lower bound for  $\omega(n)$ .  $\square$

**Lemma 3.** *Let  $n \in \mathcal{R}_a$ . If  $n$  is even and  $\omega(n) \geq 6$ , and we set  $c_1 := 0.317$  then*

$$\omega(n) > \exp(c_1\sqrt{a}). \quad (4)$$

*If  $n$  is odd and  $\omega(n) \geq 5$  then*

$$\omega(n) > \exp(2c_1\sqrt{a}) - 1. \quad (5)$$

*Proof.* We use the same approach taken in the proof of Lemma 2. First we **claim** that for  $x \geq 6$  we have

$$\log(x(\log x + \log \log x)) \leq \frac{3}{2} \log x.$$

This inequality is equivalent to  $f(x) := e^{\sqrt{x}}/x - \log x \geq 0$  which is true at  $x = 6, 7, 8$ . The derivative

$$f'(x) = \frac{\sqrt{x}e^{\sqrt{x}} - 2e^{\sqrt{x}} - 2x}{2x^2}$$

has a positive numerator for  $x \geq 9$ , demonstrating the claim.

This, together with the formulas (3.13) and (3.27) of [5] enable us to write for  $m \geq 6$ :

$$\begin{aligned} \frac{1}{\sqrt{a}} &> \prod_{i=1}^m \left(1 - \frac{1}{P_i}\right) \\ &\geq \frac{e^{-\gamma}}{\log P_m} \left(1 - \frac{1}{\log^2 P_m}\right) \\ &> \frac{e^{-\gamma} \times 0.848}{\log(m(\log m + \log \log m))} \\ &> \frac{e^{-\gamma} \times 0.848}{1.5 \log m} > \frac{c_1}{\log m}. \end{aligned}$$

Hence, replacing  $m$  by  $\omega(n)$  and using Lemma 2 we get  $\omega(n) \geq \exp(c_1 \sqrt{a})$ . A small modification gives the bound when  $n$  is odd.  $\square$

Note that the larger lower bound when  $n$  is odd stems from the factor  $1/2$  being missing from the related product. Now using Lemma 2 and Lemma 3, because for  $a \geq 28$  we have  $\exp(2c_1 \sqrt{a}) > a$ , we get

**Corollary 2.** *If  $n \in \mathcal{R}_a$  is odd then  $\omega(n) \geq a - 1$ .*

**Lemma 4.** *If  $n \in \mathcal{R}_a$ ,  $\omega(n) = 1$  and  $a \geq 2$  then  $a = 2$  and  $n = 3$  or  $a = 3$  and  $n = 2$ .*

*Proof.* If  $n = p^\alpha$  we get  $p^{\alpha+1} - 1 = ap^{\alpha-1}(p-1)^2$  so  $p^{\alpha-1} \mid p^{\alpha+1} - 1$  giving  $\alpha = 1$ . Then  $(p^2 - 1) = a(p-1)^2$  so  $p = (a+1)/(a-1)$  so  $a-1 \mid a+1$  giving  $a = 2$  or  $a = 3$ .  $\square$

Note that if  $n \in \mathcal{R}_a$  has  $n > 3$  there exists a prime  $p \mid n$  with  $p < 1/(1 - a^{-1/(2\omega(n))})$ , i.e.  $n$  is always divisible by a relatively small prime. To see this we can assume  $\omega(n) \geq 2$ . If  $n = \prod_{i=1}^m p_i^{\alpha_i}$  then, by Equation (1),  $\prod_{i=1}^m h(p_i^{\alpha_i}) = a$  so there exists a  $i$  with  $h(p_i^{\alpha_i}) > a^{1/\omega(n)}$ . Then

$$\frac{1}{\left(1 - \frac{1}{p}\right)^2} > h(p_i^{\alpha_i}) > a^{\frac{1}{\omega(n)}}$$

and the upper bound follows.

Here we extend [3, Theorem 17] to see that the quotient 2 can be replaced by any positive rational  $a > 1$ . That is to say, given such an  $a$  and a finite set of primes  $\mathcal{P}$ , there exists at most a finite number of positive integers  $n$  with  $\text{supp}(n) \subset \mathcal{P}$  and such that  $\sigma(n)/\phi(n) = a$ . To see this note that the same proof [3] works for any such fixed rational number  $a$ , not just for  $a = 2$ .

**Lemma 5.** *Let  $\mathcal{P}$  be a finite set of primes and  $a > 1$  a rational number. Then there exist at most a finite number of positive integers  $N \in \mathbb{N}$  with  $\text{supp}(N) \subset \mathcal{P}$  such that  $\sigma(N)/\phi(N) = a$ .*

Now we show that when the primes are fixed the finite set of exponents for any  $n \in \mathcal{R}_a$ , guaranteed by Lemma 5, can be found using an algorithm. The Mathematica code for this algorithm, as applied in the paper, is available from the first author. It is in essence a generalization of the method used to prove [3, Lemma 10].

**Theorem 1.** *Let  $n = \prod_{i=1}^k p_i^{\beta_i}$  satisfy  $\sigma(n) = a \cdot \phi(n)$ , where  $a$  is a positive rational number with  $a > 1$ , and suppose that the  $p_i$  are fixed. Then there is an algorithm (denoted here **Algorithm A**) which returns all possible values of the sets of exponents  $\beta_i$ .*

*Proof.* Set  $a_k := a$ . Then

$$\prod_{i=1}^k \left(1 - \frac{1}{p_i^{\beta_i+1}}\right) = a_k \prod_{i=1}^k \left(1 - \frac{1}{p_i}\right)^2 < 1.$$

Taking logarithms we get

$$\frac{k}{p_1^{\min(\beta_i)+1} - 1} \geq \sum_{i=1}^k \frac{1}{p_i^{\beta_i+1}} > -\log \left( a_k \prod_{i=1}^k \left(1 - \frac{1}{p_i}\right)^2 \right) =: \lambda_k > 0$$

so  $\min(\beta_i) \leq \lfloor \log \left( \frac{k}{\lambda_k} + 1 \right) - 1 \rfloor =: \text{min}_k$ .

We then allow this minimum to be attained successively by  $\beta_j$  for  $1 \leq j \leq k$  and to take each of the values  $1 \leq \beta_j \leq \text{min}_k$ . Inserting each of these explicit values gives the equation

$$\prod_{i=1, i \neq j}^k \left(1 - \frac{1}{p_i^{\beta_i+1}}\right) = a_{k-1} \prod_{i=1, i \neq j}^k \left(1 - \frac{1}{p_i}\right)^2 < 1.$$

where  $a_{k-1} = a_k(1 - 1/p_j)^2 / (1 - 1/p_j^{\beta_j+1})$ , which reduces the problem by one factor.

Proceeding in this manner, once  $k = 1$  is reached the solutions are assembled, duplicates are removed and the full solutions checked against the original equation  $\sigma(n) = a \cdot \phi(n)$ .  $\square$

We expect the complexity of this algorithm to be

$$O(a \cdot k! \cdot (\log 2k)^k \cdot \max(p_i : 1 \leq i \leq k)),$$

but since we used it only for  $k = 3$ , we did not consider optimizing improvements.

In the next theorem we describe all of the  $\mathcal{R}_a$  with  $\omega(n) = 2$  except for  $a = 4$ . That case is completely characterized in Lemma 9 below. Also  $a = 2$  is [3, Lemma 9] and  $a = 3$  Lemma 7 below.

**Theorem 2.** *Let  $n \in \mathcal{R}_a$ . If  $\omega(n) = 1$  then  $a = 2 \implies n = 3$ ,  $a = 3 \implies n = 2$  and there are no solutions for  $a > 3$ . If  $\omega(n) = 2$  then  $a = 2 \implies n = 35$ ,  $a = 3 \implies n = 15$ ,  $a = 5 \implies n = 56$ ,  $a = 6 \implies n = 6$  and  $a = 7 \implies n = 12$ . For  $a > 7$  there are no solutions to  $\sigma(n) = a \cdot \phi(n)$  with  $\omega(n) = 2$ .*

*Proof.* The first part is a restatement of Lemma 4.

Let  $\omega(n) = 2$ . By Equation (3), if  $n = p^u q^v$  where  $p < q$  are primes we have

$$1/\sqrt{a} > (1 - 1/p)(1 - 1/q) \geq (1 - 1/2)(1 - 1/3)$$

which implies  $2 \leq a \leq 8$ . From the remark given above we can assume  $5 \leq a \leq 8$ . By Corollary 2 we have for  $n$  odd  $3 \geq a$  so  $n$  must be even. Then  $1/\sqrt{a} > (1 - 1/2)(1 - 1/q)$ . That gives  $q < 1/(1 - 2/\sqrt{a})$  so  $a = 5 \implies q \in \{3, 5, 7\}$ ,  $a = 6 \implies q \in \{3, 5\}$ ,  $a = 7, 8 \implies q = 3$ . We then apply Algorithm A with the explicit values for  $a$ ,  $p = 2$  and given values of  $q$  to find the solutions given in the statement of the lemma.  $\square$

### 3 Properties of $\mathcal{R}_3$

**Lemma 6.** *If  $n \in \mathcal{R}_3$  and  $n > 2$  then  $n$  is odd.*

*Proof.* If  $n = 2^e \cdot m$  with  $m$  odd,  $m > 1$  and  $e \geq 1$ , then

$$1 < \frac{\sigma(n)}{\phi(n)} = \frac{2^{e+1} - 1}{2^{e-1}} \frac{\sigma(m)}{\phi(m)} = 3,$$

so

$$1 < \frac{\sigma(m)}{\phi(m)} = \frac{3 \cdot 2^{e-1}}{2^{e+1} - 1} \leq 1.$$

Therefore, since by Lemma 4,  $m = 1$  is not possible, we must have  $e = 0$ , so  $n$  is odd.  $\square$

**Lemma 7.** *If  $n \in \mathcal{R}_3$  and  $\omega(n) = 2$  then  $n = 15$ .*



*Proof.* (1) Let  $n = p^\alpha \cdot q^\beta$ . First note that the term  $1/(1 - 1/p)$  is decreasing as  $p$  increases. Therefore if both  $p, q \geq 5$  one finds

$$2.13 \geq \frac{1}{(1 - \frac{1}{p})^2(1 - \frac{1}{q})^2} \geq \frac{(1 - \frac{1}{p^{\alpha+1}})(1 - \frac{1}{q^{\beta+1}})}{(1 - \frac{1}{p})^2(1 - \frac{1}{q})^2} = 3.$$

Thus we can assume  $3 = p < q$ .

(2) Suppose that  $p = 3$  and  $q \geq 11$ , in which case

$$\frac{(3^{\alpha+1} - 1)/2}{3^{\alpha-1} \times 2} \cdot \frac{1 - \frac{1}{q^{\beta+1}}}{(1 - \frac{1}{q})^2} = 3.$$

Now the second term on the right is decreasing in  $q$ , so is strictly bounded above by  $1/(1 - 1/11)^2 = 121/100$ . It follows that  $\frac{3^{\alpha+1}-1}{4 \times 3^{\alpha-1}} \cdot \frac{121}{100} > 3$ , whence

$$3^{\alpha+1} > 3^{\alpha+1} - 1 > \frac{300 \times 4}{121 \times 9} \times 3^{\alpha+1} = \frac{400}{363} \cdot 3^{\alpha+1} > 3^{\alpha+1}$$

and the last statement is clearly false. Hence we can assume  $p = 3$  and  $q \in \{5, 7\}$ .

(3) If  $p = 3$  and  $q = 5$ , since  $n = 15$  is a solution to  $\sigma(n) = 3 \cdot \phi(n)$ , so by [3, Lemma 4], no proper multiple is also a solution, leaving 15 as the only solution in this case.

(4) For  $p = 3$  and  $q = 7$  Algorithm A shows there are no solutions of the form  $n = p^\alpha q^\beta$ .  $\square$

**Lemma 8.** *If  $n \in \mathcal{R}_3$  and  $\omega(n) = 3$  then  $n \in \{3^\alpha \cdot 7 \cdot N_{\alpha+1}, 5049\}$  where  $N_{\alpha+1}$  is any prime of the form  $2 \cdot 3^{\alpha+1} - 1$ .*

*Proof.* (1) We can assume  $n > 15$  and, by Lemma 6,  $n$  is odd. Each of  $357 = 3 \cdot 7 \cdot 17$ ,  $3339 = 3^2 \cdot 7 \cdot 53$ , and  $5049 = 3^3 \cdot 11 \cdot 17$  is a solution to  $\sigma(n) = 3\phi(n)$ , so we will assume that these three solutions have already been found. Because

$$\left(1 - \frac{1}{5}\right) \left(1 - \frac{1}{7}\right) \left(1 - \frac{1}{11}\right) > \frac{1}{\sqrt{3}}$$

we must have  $3 \mid n$ . So assume  $n = 3^\alpha p^\beta q^\gamma$  with  $3 < p < q$ .

(2) We will now show  $5 \nmid n$ : to see this first assume  $n = 3^\alpha \cdot 5^\beta \cdot q^\gamma$  with  $5 < q$ . Then

$$\begin{aligned} \left(1 - \frac{1}{3^2}\right) \left(1 - \frac{1}{5^2}\right) \left(1 - \frac{1}{q^2}\right) &\leq \left(1 - \frac{1}{3^{\alpha+1}}\right) \left(1 - \frac{1}{5^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) \\ &= 3 \left(1 - \frac{1}{3}\right)^2 \left(1 - \frac{1}{5}\right)^2 \left(1 - \frac{1}{q}\right)^2. \end{aligned}$$

Therefore

$$\left(\frac{64}{75}\right) \left(1 - \frac{1}{q^2}\right) \leq \left(\frac{64}{75}\right) \left(1 - \frac{1}{q}\right)^2$$

or  $(1 + 1/q) \leq (1 - 1/q)$  which is false. Therefore  $5 \nmid q$

(3) Now at least one of  $p, q$  must be less than 13: if not we would have

$$0.867 > \frac{3}{2\sqrt{3}} > \left(1 - \frac{1}{p}\right) \left(1 - \frac{1}{q}\right) \geq \left(1 - \frac{1}{13}\right) \left(1 - \frac{1}{17}\right) > 0.868.$$

Hence, by (1) and (2) we must have  $p \in \{7, 11\}$ . We consider each of these possibilities.

(4) First let  $p = 11$  so  $n = 3^\alpha 11^\beta q^\gamma$ . By Equation (2) we have

$$1 > \left(1 - \frac{1}{3^{\alpha+1}}\right) \left(1 - \frac{1}{11^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{1200}{1089} \left(1 - \frac{1}{q}\right)^2.$$

This implies  $13 \leq q < 1/(1 - \sqrt{1089/1200}) < 22$  so  $q \in \{13, 17, 19\}$ . Algorithm A gives no new solution.

(5) Now let  $p = 7$  so  $n = 3^\alpha 7^\beta q^\gamma$  and let  $\beta \geq 2$ . We have

$$\left(1 - \frac{1}{3^{\alpha+1}}\right) \leq \frac{56}{57} \frac{1}{h(q^\gamma)} < \frac{56}{57}.$$

Therefore  $3^{\alpha+1} < 57$  so  $\alpha \in \{1, 2\}$  when  $\beta \geq 2$ .

(5.1) Consider the case  $\alpha = 1$  so  $n = 3 \cdot 7^\beta \cdot q^\gamma$  still with  $\beta \geq 2$ . Then Equation (2) gives

$$1 > \left(1 - \frac{1}{7^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{54}{49} \left(1 - \frac{1}{q}\right)^2$$

so  $11 \leq q \leq 1/(1 - \sqrt{49/54}) < 22$  and Algorithm A gives only the solution  $n = 3 \cdot 7 \cdot 17$ .

(5.2) Now consider the case  $\alpha = 2$  so  $n = 3^2 \cdot 7^\beta \cdot q^\gamma$  with  $\beta \geq 2$ . Then

$$\left(1 - \frac{1}{7^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{648}{637} \left(1 - \frac{1}{q}\right)^2 < 1$$

so  $q < 1/(1 - \sqrt{637/648}) < 117.4$  so

$$q \in \{11, 13, 17, 19, 23, 29, 31, 37, 41, 43, 47, 53, 59, 61, 67, 71, 73, 79, 83, 89, 97, 101, 103, 107, 109, 113\}.$$

Algorithm A gives no new solution.

(5.3) By (5), (5.1) and (5.2), if  $p = 7$  then we can assume  $\beta = 1$ .

(6) Let  $p = 7$ . We can write  $n = 3^\alpha 7^1 q^\gamma$  with  $q \geq 11$ . By [3, Lemma 4], we have

$$\frac{1}{h(q^\gamma)} \leq \frac{1}{h(q)} = \frac{q-1}{q+1} = 1 - \frac{2}{q+1}$$

so therefore, using Equation (2),

$$\left(1 - \frac{1}{3^{\alpha+1}}\right) \leq \frac{3(2/3)^2(6/7)^2}{1-7^{-2}} \cdot \left(1 - \frac{2}{q+1}\right)$$

giving  $3^{\alpha+1} \leq (q+1)/2$ .

(7) If  $n = 3^\alpha \cdot 7 \cdot q^\gamma$  then using Equation (2) we get

$$\left(1 - \frac{1}{3^{\alpha+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \left(1 - \frac{1}{q}\right)^2.$$

This equation can be rewritten

$$q^{\gamma-1} (-q^2 + 2 \cdot 3^{\alpha+1} q - 3^{\alpha+1}) = 3^{\alpha+1} - 1,$$

which shows that  $q^{\gamma-1} \mid 3^{\alpha+1} - 1$ . Thus if  $\gamma > 1$  we must have  $q \leq 3^{\alpha+1} - 1$ , which is a contradiction, since by part (6),  $3^{\alpha+1} < (q+1)/2$ . Hence  $p = 7$  implies  $\gamma = 1$  and we can write  $n = 3^\alpha \cdot 7 \cdot q$ . Putting this form into Equation (2) and solving gives  $q = 2 \cdot 3^{\alpha+1} - 1 =: N_{\alpha+1}$ , so the right side is prime. Conversely every  $n$  of the form

$$n = 3^\alpha \cdot 7 \cdot N_{\alpha+1}$$

with  $N_{\alpha+1}$  prime is in  $\mathcal{R}_3$ . □

## 4 Properties of $\mathcal{R}_4$

Every  $n = 2^{p-2} M_p$ , where  $M_p := 2^p - 1$  is prime, is in  $\mathcal{R}_4$ . Conversely

**Lemma 9.** *If  $n \in \mathcal{R}_4$  is even with  $\omega(n) = 2$  then  $n = 2^{p-2} M_p$  with  $M_p$  prime.*

*Proof.* Let  $n = 2^e p^\alpha$  with  $\alpha > 1$  and  $e \geq 1$ . Then  $2^{e+1} p^{\alpha-1} (p-1)^2 = (2^{e+1} - 1)(p^{\alpha+1} - 1)$ , or in other words,

$$\frac{2^{e+1}}{2^{e+1} - 1} = \frac{p^\alpha + p^{\alpha-1} + \dots + 1}{p^\alpha - p^{\alpha-1}}$$

$$1 + \frac{1}{2^{e+1} - 1} = 1 + \frac{2p^{\alpha-1} + p^{\alpha-2} + \dots + 1}{p^\alpha - p^{\alpha-1}}$$

$$2^{e+1} - 1 = \frac{p^\alpha - p^{\alpha-1}}{2p^{\alpha-1} + \dots + 1}.$$

Therefore  $2p^{\alpha-1} + \dots + 1 \mid p - 1$  which is false. Hence  $\alpha = 1$  and  $n = 2^e p$ .

Substituting this form into  $\sigma(n) = 4\phi(n)$  we get  $(2^{e+1} - 1)(p + 1) = 2^{e+1}(p - 1)$ . Solving this we get  $p = 2^{e+2} - 1$ . Thus  $q := e + 2$  is prime and  $n = 2^{q-2}M_q$ , where  $M_q$  is a Mersenne prime.  $\square$

**Lemma 10.** *If  $n \in \mathcal{R}_4$  is odd and  $\omega(n) = 3$  then  $n \in \{105, 1485\}$ .*

*Proof.* Let  $n = p^\alpha q^\beta r^\gamma$  with  $3 < p < q < r$ . By Equation (3), if  $p \geq 5$  then

$$\frac{1}{2} = \frac{1}{\sqrt{4}} > \left(1 - \frac{1}{5}\right) \left(1 - \frac{1}{7}\right) \left(1 - \frac{1}{11}\right) = \frac{48}{77} > \frac{1}{2},$$

so  $p = 3$  and we can write  $n = 3^\alpha q^\beta r^\gamma$ . If  $r > q \geq 7$  then we would get

$$\frac{3}{4} > \left(1 - \frac{1}{7}\right) \left(1 - \frac{1}{11}\right) = \frac{60}{77} > \frac{3}{4}$$

which forces  $q = 5$ . Then a final application of Equation (3) gives

$$\frac{1}{2} > \frac{2}{3} \cdot \frac{4}{5} \left(1 - \frac{1}{r}\right) \implies r < 16,$$

so we have  $r \in \{7, 11, 13\}$ . We take each of these possibilities separately.

(1) If  $r = 7$  then Equation (1) gives

$$\left(1 - \frac{1}{3^{\alpha+1}}\right) h(5^\beta)h(7^\gamma) = \frac{16}{9} \implies 1 - \frac{1}{3^{\alpha+1}} = \frac{16}{9h(5^\beta)h(7^\gamma)} \leq \frac{16}{9h(5)h(7)} = \frac{8}{9},$$

which implies  $\alpha = 1$  so  $n = 3^1 5^\beta 7^\gamma$  and  $h(3)h(5^\beta)h(7^\gamma) = 4$  which gives  $h(5^\beta 7^\gamma) = 2$ . But in  $\mathcal{R}_2$  we see the solution  $5 \cdot 7$  so  $\beta = \gamma = 1$  is the only possibility in this case. Hence  $n = 3 \cdot 5 \cdot 7 = 105$ .

(2) If  $r = 11$  the same approach adopted in (1) gives  $\alpha \in \{1, 2, 3\}$ . We treat each of these possibilities separately.

If  $\alpha = 1$  then we must have  $h(5^\beta 11^\gamma) = 2$  but, by Theorem 2 there is no solution in  $\mathcal{R}_2$  of the form  $5^\beta 11^\gamma$ .

If  $\alpha = 2$  then Equation (2) gives

$$\left(1 - \frac{1}{5^{\beta+1}}\right) \left(1 - \frac{1}{11^{\gamma+1}}\right) = \frac{1536}{13 \cdot 11^2}$$

but 13 does not divide the denominator of the left hand side.

If  $\alpha = 3$ , since  $n = 3^3 \cdot 5 \cdot 11 = 1485$  is a solution there are none others with  $\beta > 1$  or  $\gamma > 1$ .

(3) If  $r = 13$  we have  $n = 3^\alpha 5^\beta 13^\gamma$  so if  $\beta \geq 2$  and  $\gamma \geq 1$  we can write

$$1 - \frac{1}{3^{\alpha+1}} \leq \frac{16}{9h(5^2)h(13)} = \frac{640}{651} \implies \alpha \in \{1, 2\}.$$

If in this situation  $\alpha = 1$  then Equation (1) gives  $h(5^\beta 13^\gamma) = 2$ , which has no solution.

If however  $\alpha = 2$  then

$$1 > \left(1 - \frac{1}{5^{\beta+1}}\right) \left(1 - \frac{1}{13^{\gamma+1}}\right) = \frac{55296}{54925} > 1$$

so there is no solution. Hence we can assume  $\beta = 1$  and start again setting  $n = 3^\alpha 5^1 13^\gamma$ . Then

$$1 > \left(1 - \frac{1}{3^{\alpha+1}}\right) \left(1 - \frac{1}{13^{\gamma+1}}\right) = \frac{512}{507} > 1$$

so indeed there is no solution with  $\beta = 1$  for any  $\alpha \geq 1$  or  $\gamma \geq 1$  in this case, so we have exhausted all possibilities.  $\square$

We find many elements of  $\mathcal{R}_4$  which are **even** with  $\omega(n) = 3$ . For example

$$418 = 2 \cdot 11 \cdot 19, 3596 = 2^2 \cdot 29 \cdot 31, 3956 = 2^2 \cdot 23 \cdot 43, 5396 = 2^2 \cdot 19 \cdot 71, 8636 = 2^2 \cdot 17 \cdot 127, \dots$$

Each of the solutions we have found has the shape  $n = 2^e \cdot p \cdot q$  where  $e \geq 1$  and  $p < q$  are odd primes. See the comments on this case in the Introduction. The form  $2^e p_1 \cdots p_m$ , where the  $p_i$  are distinct odd primes, appears to be a sensible place to start when seeking an infinite number of solutions to  $\phi(n) \mid \sigma(n)$ .

## 5 Properties of $\mathcal{R}_5$

**Lemma 11.** *If  $n \in \mathcal{R}_5$  has  $\omega(n) = 3$  then  $n \in \{190, 812, 1672, 56252\}$ .*

*Proof.* By Corollary 2 we must have  $n$  even. We now show that  $3 \nmid n$ . Assume  $3 \mid n$  and let  $n = p_1^{\alpha_1} p_2^{\alpha_2} p_3^{\alpha_3}$  with different primes  $p_1, p_2$  and  $p_3$ . There are at least one or at most two  $h(p_i^{\alpha_i}) > 5^{1/3}$  with  $i = 1, 2, 3$ . We also know that if  $h(p_i^{\alpha_i}) > 5^{1/3}$ , then  $p_i \in \{2, 3\}$ . But  $h(p_i^{\alpha_i})$  is increasing by  $\alpha_i$  and decreasing by  $p_i$ , so if both  $2 \mid n$  and  $3 \mid n$ , then  $6 < h(2)h(3)h(p_3^{\alpha_3}) \leq h(2^{\alpha_1})h(3^{\alpha_2})h(p_3^{\alpha_3}) = 5$ , which is false. So  $3 \nmid n$ .

Let  $n = 2^\alpha p^\beta q^\gamma$  with  $p, q$  odd primes and  $\alpha, \beta$ , and  $\gamma$  positive integers. By Equation (3), we have

$$\frac{1}{\sqrt{5}} > \left(1 - \frac{1}{2}\right) \left(1 - \frac{1}{p}\right) \left(1 - \frac{1}{q}\right)$$

which implies  $p < 19$ , so  $p \in \{5, 7, 11, 13, 17\}$ . We treat these possibilities in three cases.

(1)  $n = 2^\alpha 5^\beta q^\gamma$  with  $q > 5$ : Firstly

$$1 - \frac{1}{2^{\alpha+1}} = \frac{5/4}{h(q^\gamma)h(5^\beta)} < \frac{5}{6} \implies \alpha = 1.$$

Then using this value for  $\alpha$  we get by Equation (2)

$$\frac{3}{4} \left(1 - \frac{1}{5^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{4}{5} \left(1 - \frac{1}{q}\right)^2$$

so thus

$$\left(1 - \frac{1}{5^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{16}{15} \left(1 - \frac{1}{q}\right)^2 < 1.$$

Thus  $q < 32$  so we use Algorithm A for each value of  $q$  with  $7 \leq q \leq 31$  to get the solution  $2 \cdot 5 \cdot 19 = 190$ .

(2)  $n = 2^\alpha 7^\beta q^\gamma$ . The same method used for (1) shows  $\alpha \in \{1, 2\}$ . Then taking each of these separately leads to  $q \leq 7$  in case  $\alpha = 1$  and  $q \leq 41$  in case  $\alpha = 2$ . Using Algorithm A, from the first we obtain no solution and from the second  $2^2 \cdot 7 \cdot 29 = 812$  and  $2^2 \cdot 7^3 \cdot 41 = 56252$

(3) Let  $n = 2^\alpha p^\beta q^\gamma$  with  $11 \leq p \leq 17$ . Then Equation (3) implies

$$q \in \{13, 17, 19, 23, 29, 31, 37, 41, 43, 47, 53, 59, 61\}.$$

Using Algorithm A we obtain the solution  $2^3 \cdot 11 \cdot 19 = 1672$ . □

## 6 Larger values of $a$

**Lemma 12.** *If  $a \geq 5$  and  $n \in \mathcal{R}_a$  and  $\omega(n) = 3$  then  $n$  is even. If  $a \geq 15$  then  $\mathcal{R}_a = \emptyset$ .*

*Proof.* The first part follows from Corollary 2. By Equation (3), if  $n \in \mathcal{R}_a$  has the form  $n = 2^u p^v q^w$  we have

$$0.259 \geq \frac{1}{\sqrt{15}} > \left(1 - \frac{1}{2}\right) \left(1 - \frac{1}{p}\right) \left(1 - \frac{1}{q}\right) \geq \left(1 - \frac{1}{2}\right) \left(1 - \frac{1}{3}\right) \left(1 - \frac{1}{5}\right) > 0.266,$$

so there is no such  $n$ . □

Using Equation (3) we get:

**Lemma 13.** *Let  $n \in \mathcal{R}_a$  have  $\omega(n) = 3$ . If  $a \geq 9$  then  $3 \mid n$ . If  $a \geq 7$  then 3 or 5 divides  $n$ . If  $a \geq 10$  and  $n = 2^u 3^v q^w$  then  $q < 1/(1 - 3/\sqrt{a})$ .*

It follows that  $a = 10 \implies q \in \{5, 7, 11, 13, 19\}$ ,  $a = 11 \implies q \in \{5, 7\}$ ,  $a = 12 \implies q \in \{5, 7\}$ ,  $a = 13 \implies q = 5$ , and  $a = 14 \implies q = 5$ .

Using these constraints and Algorithm A leads to

**Lemma 14.** *If  $n \in \mathcal{R}_a$  has  $\omega(n) = 3$  then*

$$\begin{aligned} a = 10 &\implies n \in \{168, 270, 2376\}, \\ a = 13 &\implies n = 27000, \end{aligned}$$

and  $\mathcal{R}_{11} = \mathcal{R}_{12} = \mathcal{R}_{14} = \emptyset$ .

The following well known result [1, 2, 4] guarantees the existence of primitive prime divisors for expressions of the form  $a^n - 1$  with fixed  $a > 1$ .

**Lemma 15.** *Let  $a$  and  $n$  be integers greater than 1. Then there exists a prime  $p \mid a^n - 1$  which does not divide any of  $a^m - 1$  for each  $m \in \{2, \dots, n - 1\}$ , except possibly in the two cases  $n = 2$  and  $a = 2^\beta - 1$  for some  $\beta \geq 2$ , or  $n = 6$  and  $a = 2$ . Such a prime is called a **primitive prime factor**.*

The following divisibilities are well known.

**Lemma 16.** *Let  $p$  and  $q > 2$  be distinct primes and let  $e \geq 1$  be given. Let  $o := \text{ord}_q p$ . If  $o \nmid e + 1$  then  $\nu_q(\sigma(p^e)) = 0$ . If  $o \mid e + 1$  then*

$$\nu_q(\sigma(p^e)) = \nu_q \left( \frac{p^o - 1}{p - 1} \right) + \nu_q \left( \frac{e + 1}{o} \right).$$

*If  $p$  is an odd prime and  $e$  an odd integer then*

$$\nu_2(\sigma(p^e)) = \nu_2 \left( (p + 1) \left( \frac{e + 1}{2} \right) \right).$$

*If  $e$  is even then  $\nu_2(\sigma(p^e)) = 0$ .*

**Lemma 17.** *If  $n \in \mathcal{R}_9$  with  $\omega(n) = 3$  then  $n \in \{30, 264, 61344\}$ .*

*Proof.* (1) Firstly, since  $2 \mid n$  and  $3 \mid n$  we can write, if  $n = 2^\alpha 3^\beta q^\gamma$  with  $q \geq 5$ . Then, by Equation (2)

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{3^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \left(1 - \frac{1}{q}\right)^2 \quad (6)$$

We claim

$$\frac{2^{\alpha+1} 3^{\beta+1}}{2^{\alpha+1} + 3^{\beta+1} - 1} < q < 2 \frac{2^{\alpha+1} 3^{\beta+1}}{2^{\alpha+1} + 3^{\beta+1} - 1}. \quad (7)$$

The upper bound follows from Equation (6) since it implies

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{3^{\beta+1}}\right) > \left(1 - \frac{1}{q}\right)^2 > 1 - \frac{2}{q},$$

and the lower bound by noticing  $(1 - 1/q^{\gamma+1}) > (1 - 1/q)$  leads to

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{3^{\beta+1}}\right) < \left(1 - \frac{1}{q}\right).$$

(2) Now  $h(2^\alpha)h(3^\beta)h(q^\gamma) = 9$  and  $6 = h(2)h(3) \leq 9/h(q^\gamma)$  implies  $h(q^\gamma) \leq 3/2 = h(5)$ . We get the solution  $n = 2 \cdot 3 \cdot 5 = 30$  so can assume  $q \geq 7$ .

Assume in (3) and (4) that  $\gamma = 1$ .

(3) We will show that  $\alpha$  is odd. Equation (2) implies

$$(2^{\alpha+1} + 3^{\beta+1} - 1)(q + 1) = 2^{\alpha+2} \cdot 3^{\beta+1}. \quad (8)$$

Define  $A := 2^{\alpha+1} + 3^{\beta+1} - 1$  and  $B := 2^{\alpha+2} \cdot 3^{\beta+1}$ . If  $\alpha$  were even then  $3 \nmid A$  so  $A \mid 2^{\alpha+2}$  giving  $2^{\alpha+1} + 3^{\beta+1} - 1 = 2^{\alpha+2}$  or  $3^{\beta+1} - 2^{\alpha+1} = 1$ , which is Catalan's equation. Thus  $\beta = 1$  and  $\alpha = 2$ . But Equation (8) gives  $q = 8$  which is false. Hence  $\alpha$  is odd.

(4) This same equation tells us that  $\nu_2(2^{\alpha+1}) \neq \nu_2(3^{\beta+1} - 1)$  (since equality would lead to  $2^{\alpha+3} \mid B$ ), and, using the oddity of  $\alpha$ ,  $\nu_3(3^{\beta+1}) \neq \nu_3(2^{\alpha+1} - 1)$ : to see this assume  $\beta + 1 = \nu_3(3^{\beta+1}) = \nu_3(2^{\alpha+1} - 1)$ , and recall by Lemma 16 that  $\nu_3(A) \leq \beta + 1$ , so  $\beta + 1 = \nu_3(A)$  and  $q$  is a Mersenne prime. Writing  $2^{\alpha+1} - 1 = 3^{\beta+1}\eta$ , with  $3 \nmid \eta$ , and canceling in Equation (8) gives  $\eta + 1 = 2^{\alpha+2-o} = 2^e$  where  $o$  is odd and  $e$  even. Hence  $\eta \equiv 0 \pmod{3}$ , which is false.

These two inequalities enable us to employ Lemma 16.

$$\nu_2(A) = \min(\alpha + 1, 1) \text{ if } \beta \text{ is even,}$$



$$\begin{aligned}\nu_2(A) &= \min\left(\alpha + 1, 3 + \nu_2\left(\frac{\beta + 1}{2}\right)\right) \text{ if } \beta \text{ is odd,} \\ \nu_3(A) &= \min\left(\beta + 1, 1 + \nu_3\left(\frac{\alpha + 1}{2}\right)\right) \text{ since } \alpha \text{ is odd.}\end{aligned}$$

Also, for  $\alpha, \beta \geq 1$  we have  $\nu_2((\beta + 1)/2) \leq 3(\log \beta)/2$  and  $\nu_3((\alpha + 1)/2) \leq \log \alpha$ . Therefore

$$A = 2^{\alpha+1} + 3^{\beta+1} - 1 = 2^{\nu_2(A)} \cdot 3^{\nu_3(A)} \leq 2^{3+3(\log \beta)/2} \cdot 3^{1+\log \alpha} = 24 \cdot 2^{3(\log \beta)/2} \cdot 3^{\log \alpha}.$$

It follows from this that  $\alpha$  and  $\beta$  are bounded, indeed  $1 \leq \alpha \leq 7$  and  $1 \leq \beta \leq 5$ . Then testing each pair  $(\alpha, \beta)$ , which satisfy these bounds, using Equation (8) we get  $q \notin \mathbb{N}$ , unless

$$(\alpha, \beta) \in \{(1, 1), (2, 1), (3, 1), (3, 3), (5, 1), (5, 3)\}.$$

Substituting these values in Equation (8), using the explicit values of  $q$  from Equation (7), gives  $(1, 1)$ ,  $(3, 1)$  and  $(5, 3)$  as the only solutions with corresponding values of  $n$  being  $2 \cdot 3 \cdot 5 = 30$ ,  $2^3 \cdot 3 \cdot 11 = 264$ , and  $2^5 \cdot 3^3 \cdot 71 = 61344$ .

(5) Now let  $\gamma > 1$  and  $n = 2^\alpha 3^\beta q^\gamma$  with  $q \geq 5$ . As before we have

$$(2^{\alpha+1} - 1)(3^{\beta+1} - 1)(q^{\gamma+1} - 1) = (q - 1)^2 q^{\gamma-1} 2^{\alpha+1} 3^{\beta+1}. \quad (9)$$

By Lemma 15,  $q^{\gamma+1} - 1$  has a primitive prime factor,  $p$  say, which does not divide  $q^2 - 1 = (q + 1)(q - 1)$ , so cannot be 2 or 3 so, by Equation (9), must divide  $q - 1$ , which is impossible. Hence the case  $\gamma > 1$  does not arise.  $\square$

**Lemma 18.** *The solutions to  $\sigma(n) = 6 \cdot \phi(n)$  with  $\omega(n) = 3$  are 616, 79000 and  $2^{p-2} \cdot 5 \cdot M_p$  where  $M_p$  is any Mersenne prime.*

*Proof.* (1) By Corollary 2 each  $n \in \mathcal{R}_6$  is even. If  $3 \mid n$  let  $n = 2^\alpha \cdot 3^\beta \cdot q^\gamma$ . Then by Equation (2)

$$\left(1 - \frac{1}{2^2}\right) \left(1 - \frac{1}{3^2}\right) \left(1 - \frac{1}{q^2}\right) \leq \frac{2}{3} \left(1 - \frac{1}{q}\right)^2$$

we get  $1 - 1/q^2 \leq 1 - 2/q + 1/q^2$ , which is false, so we can also assume  $3 \nmid n$ .

(2) If we also had  $5 \nmid n$  and  $7 \nmid n$  with  $n = 2^\alpha r^\beta q^\gamma$  then  $r \geq 11$  and  $q \geq 13$ ,  $r$  and  $q$  being odd primes, then we would get

$$\frac{1}{\sqrt{6}} > \left(1 - \frac{1}{2}\right) \left(1 - \frac{1}{11}\right) \left(1 - \frac{1}{13}\right)$$

which is false. If  $5 \mid n$  and  $7 \mid n$  we get the solution  $n = 2 \cdot 5 \cdot 7$  (a Mersenne solution  $2^{3-2} \cdot 5 \cdot M_3$ ), and therefore no other solution with both these prime divisors in  $\mathcal{R}_6$ . Hence we can assume  $5 \mid n$  or  $7 \mid n$ , but not both.

(3) Now assume  $5 \parallel n$  so  $n = 2^\alpha \cdot 5 \cdot q^\gamma$ . Therefore

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{5^2}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{24}{25} \left(1 - \frac{1}{q}\right)^2$$

giving

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \left(1 - \frac{1}{q}\right)^2 = 4 \left(1 - \frac{1}{2}\right)^2 \left(1 - \frac{1}{q}\right)^2$$

so  $m := 2^\alpha q^\gamma$  is in  $\mathcal{R}_4$ . But then, by Lemma 9, we have  $m = 2^{p-2} \cdot M_p$  for some prime  $p$  such that  $M_p$  is prime. Therefore in this case we get  $n = 2^{p-2} \cdot 5 \cdot M_p$ .

(4) Now assume  $5 \nmid n$  so we must have  $n = 2^\alpha 7^\beta q^\gamma$  with  $q \geq 11$ . Then

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{7^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{54}{49} \left(1 - \frac{1}{q}\right)^2 < 1$$

giving  $1 - 1/q < \sqrt{49/54}$  so  $q \in \{11, 13, 17, 19\}$ . Applying Algorithm A we get  $n = 2^3 \cdot 7 \cdot 11$  as the only solution.

(5) Now we have to consider  $n = 2^\alpha 5^\beta q^\gamma$  with  $\beta \geq 2$ . We can write

$$1 - \frac{1}{2^{\alpha+1}} = \frac{6}{h(5^\beta)h(q^\gamma)} \left(1 - \frac{1}{2}\right)^2 \leq \frac{6}{4h(5^2)h(q)} < \frac{30}{31}$$

so  $2^{\alpha+1} < 31$  giving  $\alpha \in \{1, 2, 3\}$ . We consider each of these possibilities. If  $\alpha = 1$  we get

$$\left(1 - \frac{1}{5^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{96}{75} \left(1 - \frac{1}{q}\right)^2 < 1$$

giving the single solution  $q = 7$ . Algorithm A gives  $n = 2 \cdot 5 \cdot 7$  only.

If  $\alpha = 2$  or  $\alpha = 3$  a similar argument gives an explicit bound for  $q$  ( $7 \leq q \leq 19$  and  $7 \leq q \leq 83$  respectively), and then using Algorithm A we obtain just one new solution with  $\alpha = 3$ , namely  $n = 2^3 \cdot 5^3 \cdot 79 = 79000$ .  $\square$

**Lemma 19.** *The solutions to  $\sigma(n) = 7 \cdot \phi(n)$  with  $\omega(n) = 3$  are 78, 140, 2214, and 25758.*

*Proof.* (1) By Corollary 2 we get  $n$  even, and by Lemma 13 we have  $3 \mid n$  or  $5 \mid n$ . If  $15 \mid n$  then

$$9 = h(2 \cdot 3 \cdot 5) \leq 7$$

so exactly one of 3 and 5 divides  $n$ .

(2) Let  $3 \mid n$  so we can write  $n = 2^\alpha 3^\beta q^\gamma$  with  $q \geq 7$ . Then

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{3^2}\right) \left(1 - \frac{1}{7^2}\right) \leq \frac{7}{9} \left(1 - \frac{1}{q}\right)^2 < \frac{7}{9}$$

so therefore  $1 - 1/2^{\alpha+1} < 343/384$  giving  $\alpha \in \{1, 2\}$ .

(3) If  $3 \mid n$  and  $\alpha = 1$  then

$$\left(1 - \frac{1}{3^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{28}{27} \left(1 - \frac{1}{q}\right)^2 < 1$$

so, from this last inequality  $q < 56$  and so  $7 \leq q \leq 53$ . Algorithm A then gives the solutions  $n = 2 \cdot 3 \cdot 13 = 78$ ,  $n = 2 \cdot 3^3 \cdot 41 = 2214$  and  $n = 2 \cdot 3^5 \cdot 53 = 25758$ .

(4) If however  $3 \mid n$  and  $\alpha = 2$  then

$$\left(1 - \frac{1}{3^{\beta+1}}\right) \left(1 - \frac{1}{7^2}\right) \leq \frac{8}{9} \left(1 - \frac{1}{q}\right)^2 < \frac{8}{9}$$

which gives  $\beta = 1$ . But then, by Equation (1),  $h(q^\gamma) = 1$  which is false for all primes  $q$  and integers  $\gamma \geq 1$ .

(5) If  $5 \mid n$  and  $q \geq 19$  then

$$\frac{1}{\sqrt{7}} > \left(1 - \frac{1}{2}\right) \left(1 - \frac{1}{5}\right) \left(1 - \frac{1}{19}\right)$$

which is false, so  $q \in \{7, 11, 13, 17\}$  and using Algorithm A we get  $n = 2^2 \cdot 5 \cdot 7 = 140$ .  $\square$

**Lemma 20.** *The solutions to  $\sigma(n) = 8 \cdot \phi(n)$  with  $\omega(n) = 3$  are 594, 7668 and  $2^{p-2} \cdot 3 \cdot M_p$  where  $M_p$  is any Mersenne prime.*

*Proof.* (1) By Corollary 2 we get  $n$  even. If  $3 \nmid n$  then  $n = 2^\alpha r^\beta q^\gamma$  with  $r \geq 5$ . If  $q \geq 11$  we contradict Equation (3), so we must then have  $q = 7$  and  $r = 5$ . But then Algorithm A gives no solutions in  $\mathcal{R}_8$  for  $n$  of the form  $n = 2^\alpha 5^\beta 7^\gamma$ , so all solutions in  $\mathcal{R}_8$  must have  $3 \mid n$ .

(2) Now suppose that  $3 \parallel n$  so  $n = 2^\alpha 3^1 q^\gamma$ . Substitute this value in Equation (2) to get

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \left(1 - \frac{1}{q}\right)^2.$$

As we saw in the case for  $\mathcal{R}_4$ , the solutions to this equation are precisely  $m = 2^{p-2} \cdot M_p$ , where  $M_p$  is any Mersenne prime. This shows all solutions to  $\sigma(n) = 8\phi(n)$  with  $\omega(n) = 3$  and  $3 \parallel n$  are of the form  $n = 2^{p-2} \cdot 3 \cdot M_p$ .

(3) Now consider  $n = 2^\alpha 3^\beta q^\gamma$  with  $\beta \geq 2$  and  $q \geq 5$ . Then

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{3^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{8}{9} \left(1 - \frac{1}{q}\right)^2.$$

Therefore

$$\left(1 - \frac{1}{2^{\alpha+1}}\right) \left(1 - \frac{1}{3^3}\right) \leq \frac{8}{9h(q^\gamma)} < \frac{8}{9}.$$

So  $1 - 1/2^{\alpha+1} < 12/13$  giving  $\alpha \in \{1, 2\}$ .

(4) If  $\alpha = 2$  then

$$\left(1 - \frac{1}{3^{\beta+1}}\right) \left(1 - \frac{1}{q^{\gamma+1}}\right) = \frac{64}{63} \left(1 - \frac{1}{q}\right)^2 < 1$$

so  $q < 1/(1 - \sqrt{63/64}) < 128$  giving  $5 \leq q \leq 127$ .

(5) If however  $\alpha = 1$ , using this same approach leads to  $q < 1/(1 - \sqrt{27/32}) < 12.3$  and so  $q \in \{5, 7, 11\}$ . Therefore, no matter what the value of  $\alpha$ , we must have  $5 \leq q \leq 127$ . Then, using Algorithm A for  $n$  of the form  $2^\alpha 3^\beta q^\gamma$  for each such  $q$  we get, other than the Mersenne solutions in this range,  $n = 2 \cdot 3^3 \cdot 11 = 594$  and  $n = 2^2 \cdot 3^3 \cdot 71 = 7668$  only.  $\square$

Summarizing all of these results:

**Theorem 3.** *Excluding the case  $\sigma(n)/\phi(n) = 4$  and  $n$  even, there are a finite number of solutions to  $\phi(n) \mid \sigma(n)$  with  $\omega(n) \leq 3$  if and only if there are a finite number of Mersenne primes and a finite number of primes of the form  $2 \cdot 3^\alpha - 1$ . These solutions are determined explicitly:*

$$\{2, 3, 15, 30, 78, 140, 168, 190, 264, 270, 594, 616, 812, 1485, \\ 1672, 2214, 2376, 5049, 7668, 25758, 27000, 56252, 61344, 79000\},$$

and

$$\{2^{p-2} \cdot M_p, 2^{p-2} \cdot 3 \cdot M_p, 2^{p-2} \cdot 5 \cdot M_p, 3^\alpha \cdot 7 \cdot N_{\alpha+1}\}$$

where  $M_p$  is any Mersenne prime  $2^p - 1$  and  $N_a$  is any prime of the form  $2 \cdot 3^a - 1$ .

## 7 Generating large elements of $\mathcal{R}_2$

The reader will recall Lemma 9 where a potentially infinite parametrized set of elements of  $\mathcal{R}_4$  was characterized, namely  $2^{p-2}M_p$  where  $M_p$  is the Mersenne prime at prime  $p$ . There are examples of related solutions to  $\sigma(n) = a \cdot \phi(n)$  for other values of  $a$ :

(1) Every  $n = 2^{p-2} \cdot 5 \cdot M_p$ , where  $M_p := 2^p - 1$  is prime, is in  $\mathcal{R}_6$ .

(2) Every  $n = 2^{p-2} \cdot 3 \cdot M_p$ , where  $M_p := 2^p - 1$  is prime with  $p \geq 5$ , is in  $\mathcal{R}_8$ . More generally, if an odd number  $m \in \mathcal{R}_a$  and odd prime number  $p$  with  $M_p$  prime has  $M_p \nmid m$ , then  $m \cdot 2^{p-2} \cdot M_p \in \mathcal{R}_{4a}$ .

(3) If  $n \in \mathcal{R}_2$  with  $n > 3$  then  $3n \in \mathcal{R}_4$ . More generally, if  $n \in \mathcal{R}_a$  and a prime  $p \nmid n$  with  $\sigma(p) \cdot a = b \cdot \phi(p)$  then  $pn \in \mathcal{R}_b$ .

Using (1), (2) and (3) we can generate some large elements of  $\mathcal{R}_a$  with increasing  $a$ . However, we observe large squarefree elements with bounded  $a$ , for example in  $\mathcal{R}_2$ , and here describe a method for generating them.

Consider the identity

$$\left(\frac{2m+2}{2m}\right) \left(\frac{2m+4}{2m+2}\right) \left(\frac{2m+6}{2m+4}\right) \cdots \left(\frac{4m-2}{4m-4}\right) \left(\frac{4m}{4m-2}\right) = 2.$$

Denote  $2m, 2m+2, \dots, 4m-2$  as  $n_1, n_2, \dots, n_m$ . Let  $p_i := n_i + 1$ . Each  $p_i$  which is an odd prime is retained as a prime divisor of  $n$ . The remaining prime divisors are found by modular relationships after cancelation.

For example  $m = 6$ , then from the above equation we get

$$\left(\frac{14}{12}\right) \left(\frac{16}{14}\right) \left(\frac{18}{16}\right) \left(\frac{20}{18}\right) \left(\frac{22}{20}\right) \left(\frac{24}{22}\right) = 2.$$

Consequently, we have  $p_1 = 13; p_3 = 17; p_4 = 19; p_6 = 23$ . After cancelation, we obtain,

$$\left(\frac{7 \cdot 5}{2^2 \cdot 11}\right) \left(\frac{p_2 + 1}{p_2 - 1}\right) \left(\frac{p_5 + 1}{p_5 - 1}\right) = 1.$$

Trying  $2^2 \cdot 11 \mid p_2 + 1$ , if  $p_2 = 43$ , then  $p_5 = 11$ . Finally, we discover a solution  $n = 11 \cdot 13 \cdot 17 \cdot 19 \cdot 23 \cdot 43 = 45680921$ .

Another example  $m = 10$ . From

$$\left(\frac{22}{20}\right) \left(\frac{24}{22}\right) \left(\frac{26}{24}\right) \left(\frac{28}{26}\right) \left(\frac{30}{28}\right) \left(\frac{32}{30}\right) \left(\frac{34}{32}\right) \left(\frac{36}{34}\right) \left(\frac{38}{36}\right) \left(\frac{40}{38}\right) = 2,$$

we can get  $p_2 = 23; p_5 = 29; p_6 = 31; p_9 = 37$ . After canceling, we get

$$\left(\frac{8 \cdot 19}{11 \cdot 7 \cdot 3}\right) \left(\frac{p_1 + 1}{p_1 - 1}\right) \left(\frac{p_3 + 1}{p_3 - 1}\right) \left(\frac{p_4 + 1}{p_4 - 1}\right) \left(\frac{p_7 + 1}{p_7 - 1}\right) \left(\frac{p_8 + 1}{p_8 - 1}\right) \left(\frac{p_{10} + 1}{p_{10} - 1}\right) = 1.$$

Let  $11 \mid p_1 + 1$ , trying  $p_1 = 43$  and simplifying again, let  $21 \mid p_3 + 1$ , trying  $p_3 = 41$ . Using the similar method to try the same equation,  $4 \mid p_4 - 1$ , choose  $p_4 = 17$ . Trying

$\omega(n)$	$n$	factors
1	3	3
2	35	$5 \cdot 7$
3	1045	$5 \cdot 11 \cdot 19$
4	24871	$7 \cdot 11 \cdot 17 \cdot 19$
5	1390753	$7 \cdot 13 \cdot 17 \cdot 29 \cdot 31$
6	45680921	$11 \cdot 13 \cdot 17 \cdot 19 \cdot 23 \cdot 43$
7	30805485137	$7 \cdot 17 \cdot 19 \cdot 23 \cdot 31 \cdot 97 \cdot 197$
8	153068460649	$13 \cdot 17 \cdot 19 \cdot 23 \cdot 29 \cdot 31 \cdot 41 \cdot 43$
9	1200381343577759	$7 \cdot 19 \cdot 23 \cdot 29 \cdot 31 \cdot 41 \cdot 131 \cdot 181 \cdot 449$
10	3208242429090101	$13 \cdot 17 \cdot 23 \cdot 29 \cdot 31 \cdot 37 \cdot 41 \cdot 43 \cdot 47 \cdot 229$

Table 3: Squarefree solutions to  $\sigma(n) = 2 \cdot \phi(n)$ .

$14 \mid p_7 + 1$ , taking  $p_7 = 13$ . Trying  $4 \mid p_8 + 1$ , taking  $p_8 = 47$ , then  $p_{10} = 229$ . Now we are able to discover a solution  $n = 13 \cdot 17 \cdot 23 \cdot 29 \cdot 31 \cdot 37 \cdot 41 \cdot 43 \cdot 47 \cdot 229 = 3208242429090101$ .

This procedure appears to have algorithmic content, and may enable squarefree solutions to  $\sigma(n) = 2\phi(n)$  to be found with  $\omega(n)$  arbitrarily large. Examples up to  $\omega(n) = 10$  are given in Table 3.

## 8 Acknowledgement

The author's wish to express their gratitude to a referee who corrected errors in an earlier version of this paper and made other helpful suggestions.

## References

- [1] A. S. Bang, Taltheoretiske undersøgelser, *Tidsskrift for Mat.* **5** (1886), 70–80, 130–137.
- [2] G. D. Birkhoff and H. S. Vandiver, On the integral divisors of  $a^n - b^n$ , *Ann. of Math.* (2)**5** (1904), 173–180.
- [3] K. A. Broughan and D. Delbourgo, On the ratio of the sum of divisors and Euler's totient function I, *J. Integer Seq.* **16** (2013), A 13.8.8.
- [4] M. Roitman, On Zsigmondy primes, *Proc. Amer. Math. Soc.* **125** (1997), 1913–1919.

- [5] B. Rosser and L. Schoenfeld, Approximate formulas for some functions of prime numbers, *Illinois J. Math.* **6** (1962), 64–94.
- [6] S. S. Wagstaff, Divisors of Mersenne Numbers, *Math. Comp.* **40** (1983), 385–397.

2010 *Mathematics Subject Classification*: 11A25, 11A41, 54H99, 11N60, 11N25, 11N64.

*Keywords*: sum of divisors, Euler’s totient function.

*OEIS related sequences*: A000043, A000668, A062699, A068390, A104901, A079363, A003307.