

### Ex (3) (well formed bracketings)

(7)

If  $L_1$  and  $L_2$  are legal bracketings show  $[L_1]L_2$  is also legal.

Ex  $L_1 = [ ] [ ]$ ,  $L_2 = [ [ ] ]$   $\rightarrow$   $\underbrace{[ [ ] [ ] }_{[L_1]} \underbrace{[ [ ] ]}_{L_2}$

Let  $A_n$  be the set of bracketings with  $n$  pairs. If it splits and  $L_1$  has  $k$  pairs then  $L_2$  has  $n-k-1$  pairs

$$\therefore A_n = \bigsqcup_{k=0}^{n-1} A_k \times A_{n-k-1}$$

so  $a_n = \sum_{k=0}^{n-1} a_k a_{n-k-1}$ , a non-linear recurrence.

- the decomposition  $[L_1]L_2$  is unique - we must take the first matching RH bracket.

- the union is disjoint  $\therefore$  an element of  $A_n$  is never equal to an element of  $A_{n'}$  for  $n \neq n'$ .

### 7 Generating Functions

Wilf - "A generating function is a clothesline in which we hang up a sequence of numbers for display"

- Given a set of numbers (not necessarily in  $\mathbb{N}$  or in  $\mathbb{R}$  even)  $(a_n)$ , a generating function contains all of the information in all of the numbers.

- it can be used to obtain each of the numbers.

Ex 1  $e^x = 1 + x + \frac{x^2}{2!} + \dots + \frac{x^n}{n!} + \dots = \sum_{n=0}^{\infty} a_n x^n$

is a generating function for  $a_n = \frac{1}{n!}$

Ex 2  $\left(\frac{1}{1-x}\right)^2 = 1 + 2x + 3x^2 + 4x^3 + \dots$  generates  $\mathbb{N}$ .

Ex 3  $\frac{x}{e^x - 1} = B_0 + B_1 x + B_2 x^2 + \dots$  generates the Bernoulli numbers.

The terms in the sequence are regarded as coefficients of a power

series in  $x$  :  $f(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n + \dots$

$$a_n = \frac{f^{(n)}(0)}{n!} \quad \text{if the function is} \\ \text{real analytic.}$$

Ex 4  $a_0 = a_1 = 1$ ,  $a_n = a_{n-1} + a_{n-2}$   $n \geq 2$  then

$$\begin{aligned} f(x) &= \sum_{n=0}^{\infty} a_n x^n \\ &= a_0 + a_1 x + \sum_{n=2}^{\infty} a_n x^n \\ &= 1 + x + \sum_{n=2}^{\infty} (a_{n-1} + a_{n-2}) x^n \\ &= 1 + x + \sum_{n=2}^{\infty} a_{n-1} x^n + \sum_{n=2}^{\infty} a_{n-2} x^n \\ &= 1 + x + x \sum_{n=2}^{\infty} a_{n-1} x^{n-1} + x^2 \sum_{n=2}^{\infty} a_{n-2} x^{n-2} \end{aligned}$$

$$\begin{aligned} \Rightarrow f(x) &= 1 + x + x(f(x) - 1) + x^2(f(x)) \\ &= 1 + (x + x^2)f(x) \end{aligned}$$

Hence  $f(x) = \frac{1}{1-x-x^2}$ , a nice rational function which thus like embodies all of the information "in" the Fibonacci numbers.

Do we need to be concerned with the convergence of these series?

- often no. They are regarded as 'formal power series'. E.g.

$$\begin{aligned} \sum_{n=0}^{\infty} n! x^n \quad \text{as a Taylor series converges only at } x=0, \\ = \sum_{n=0}^{\infty} a_n x^n \quad \text{and } a_n = n! \text{ is still implied.} \end{aligned}$$

# Weight Enumeration

If  $f(x) = \sum_{n=0}^{\infty} a_n x^n$ , we regard this as a

'weight enumerator' of a family of combinatorial sets  $(A_n)$  with  $a_n =$  "weight"  $(A_n)$ , usually  $a_n = |A_n|$ .

Ex Let  $q_i$  be the number of people in a population with age  $i$ ,  $1 \leq i \leq 200$ . We want  $f(x) = \sum_{i=0}^{200} q_i \cdot x^i$ .

1st method In turn count the number of people of age  $i$ ,  $1 \leq i \leq 200$ . So we need 201 questions and answers.

2nd method - good if the population has less than 200 members - ask each person their age and say the weight of a person of age  $i$  is  $x^i$  so

$$f(x) = \sum_{p \in \text{persons}} x^{\text{age}(p)}$$

Ex

person:	Jack	Ellen	Mary	Tom	Ben	Jan
age:	18	19	21	19	18	18

$$f(x) = x^{18} + x^{19} + x^{21} + x^{19} + x^{18} + x^{18}$$

$$= 3x^{18} + 2x^{19} + x^{21}$$

so there are 3 of age 18, 2 of age 19 and 1 of age 21 & no others. - 6 steps instead of 200.

Total no. of individuals is  $3 + 2 + 1 = f(1)$

Total age is  $3 \times 18 + 2 \times 19 + 1 \times 21 = f'(1)$

Average age is  $\mu = \frac{f'(1)}{f(1)}$ .

and Variance  $\sigma^2 = \sum_i (q_i - \mu)^2 / (\text{total no. of individuals})$

$$q_i = \text{age of person } i = \dots = \frac{f''(1)}{f(1)} + \mu - \mu^2.$$

General Scheme

Given a set  $A$  and an attribute of elements of  $A$

$\alpha: A \rightarrow \mathbb{N}' = \mathbb{N} \setminus \{0\}$ , then the weight enumerator

of  $A$  with respect to  $\alpha$  is defined by

$$f(x) := \sum_{a \in A} x^{\alpha(a)} =: |A|_x$$

$$= \sum_{n=1}^{\infty} a_n x^n$$

where  $a_n = \# \{ a \in A : \alpha(a) = n \}$ . So once we have an explicit  $f(x)$  we can compute the  $a_n$ 's.

Properties of weighted counting

①  $|A \cup B|_x = |A|_x + |B|_x$

$$\text{LHS} = \sum_{a \in A \cup B} x^{\alpha(a)} = \sum_{a \in A} x^{\alpha(a)} + \sum_{a \in B} x^{\alpha(a)} = \text{RHS}$$

②  $|A \times B|_x = |A|_x \cdot |B|_x$

Let  $\alpha$  be the attribute of  $A$  and let  $\beta$  on  $A \times B$  be defined by  $\beta(a,b) = \alpha(a) + \beta(b)$ .

then

$$\begin{aligned} \text{LHS} = |A \times B|_x &= \sum_{(a,b) \in A \times B} x^{\beta(a,b)} \\ &= \sum_{(a,b) \in A \times B} x^{\alpha(a) + \beta(b)} \\ &= \sum_{(a,b) \in A \times B} x^{\alpha(a)} \cdot x^{\beta(b)} \\ &= \sum_{a \in A} \sum_{b \in B} x^{\alpha(a)} \cdot x^{\beta(b)} \\ &= \left( \sum_{a \in A} x^{\alpha(a)} \right) \cdot \left( \sum_{b \in B} x^{\beta(b)} \right) \\ &= \text{RHS.} \end{aligned}$$

Ex Let  $A$  be the set of all finite sequences of 1s and 2s and let the ~~weight~~<sup>attribute</sup> of a sequence  $a \in A$ ,  $d(a)$  be the sum of its entries, so e.g.  $d(121) = 4$  so the weight of the sequence is  $x^{d(a)} = x^4$ .  $\text{Weight}(a_1 \dots a_n) = x^{a_1 + a_2 + \dots + a_n}$

Then  $A = \emptyset \cup 1A \cup 2A$

where  $1A$  represents the subset of sequences commencing with 1, etc.

then  $|A|_x = 1 + x|A|_x + x^2|A|_x \Rightarrow$

$$|A|_x = \frac{1}{1-x-x^2} \quad (\text{Fibonacci}).$$

Ex Let  $\mathcal{L}$  be the set of legal bracketings, then the empty bracketing has weight  $x^0 = 1$ . Otherwise we can write a bracketing as  $L = [L_1]L_2$  where  $L_1, L_2$  are legal.

Let the weight of  $L := x^n$  where  $n$  is the no. of matched pairs. e.g.  $\text{wt}([ [ ] [ ] ]) = x^3$

$$\mathcal{L} = \{\emptyset\} \cup ([\mathcal{L}] \times \mathcal{L})$$

$$\Rightarrow |\mathcal{L}|_x = 1 + x|\mathcal{L}|_x \cdot |\mathcal{L}|_x = 1 + x|\mathcal{L}|_x^2$$

$$\Rightarrow |\mathcal{L}|_x = \frac{1 - \sqrt{1-4x}}{2x} = \sum_{n=0}^{\infty} a_n x^n$$

Binomial theorem for fractional indices  $\alpha \in \mathbb{R}$  and  $|x| < 1$

$$(1+x)^\alpha = 1 + \alpha x + \frac{\alpha(\alpha-1)}{1 \cdot 2} x^2 + \dots + \binom{\alpha}{j} x^j + \dots + \binom{\alpha}{d} := \frac{\alpha(\alpha-1)\dots(\alpha-d+1)}{d!}$$

Replace  $x \rightarrow -4x$ ,  $\alpha \rightarrow \frac{1}{2}$  to expand  $(1-4x)^{1/2}$  to derive

$$a_n = \frac{(2n)!}{(n+1)!n!} \quad (3).$$

Finding solutions: Solving recurrence relations

From  $(a_n) \longleftrightarrow f(x) = \sum_0^{\infty} a_n x^n$

Ex ①  $a_0 = 3, a_1 = 6, a_{n+2} = 3a_{n+1} - 2a_n, n \geq 0.$

so  $a_2 = 3a_1 - 2a_0 = 12$  etc.

then  $a_{n+2} - 3a_{n+1} + 2a_n = 0, n \geq 0.$

$\Rightarrow \sum_{n=0}^{\infty} (a_{n+2} - 3a_{n+1} + 2a_n) x^n = 0$

$\Rightarrow \sum_{n=0}^{\infty} a_{n+2} x^n - 3 \sum_{n=0}^{\infty} a_{n+1} x^n + 2 \sum_{n=0}^{\infty} a_n x^n = 0$

$x(x^2) \Rightarrow \sum_{n=0}^{\infty} a_{n+2} x^{n+2} - 3x \sum_{n=0}^{\infty} a_{n+1} x^{n+1} + 2x^2 \sum_{n=0}^{\infty} a_n x^n = 0$

$\Rightarrow (f(x) - a_0 - a_1 x) - 3x(f(x) - a_0) + 2x^2 f(x) = 0$

so  $f(x)(1 - 3x + 2x^2) + (3x - 3) = 0$

$\Rightarrow f(x) = \frac{3 - 3x}{1 - 3x + 2x^2} = \frac{3(1-x)}{(1-x)(1-2x)}$

$= \frac{3}{1-2x} = 3(1 + 2x + 4x^2 + 8x^3 + \dots)$

using  $\frac{1}{1-r} = 1 + r + r^2 + \dots, r = 2x, r^n = 2^n x^n.$

so  $a_n = 3 \cdot 2^n \quad \forall n \geq 0$

Ex ② (Fibonacci)  $a_0 = 0, a_1 = 1, a_n = a_{n-1} + a_{n-2}, n \geq 2.$

... we get  $f(x) = \frac{x}{1-x-x^2}$ , Let

$\gamma = \frac{1+\sqrt{5}}{2}$  be the golden ratio and  $\delta = \frac{1-\sqrt{5}}{2}$  its conjugate  $\frac{1}{\delta}$  so  $(1-x-x^2) = (1-\gamma x)(1-\delta x)$

and ...  $f(x) = \frac{1}{\sqrt{5}} \left( \frac{1}{1-\gamma x} - \frac{1}{1-\delta x} \right) \Rightarrow a_n = \frac{\gamma^n - \delta^n}{\sqrt{5}}$